

## DISASTER AND SOCIOSYSTEMIC VULNERABILITY<sup>1</sup>

Carlo Pelanda

The aims of this paper are to suggest a preliminary sociological definition of vulnerability to disasters, to discuss this notion in relation to different levels of sociosystemic complexity, and, only for heuristic purposes, to present a tentative and synthetic conceptual scheme for the assessment of the overall vulnerability of a social (sub)system.

If one seeks an understanding of what happens at the interface between extreme physical phenomena and social systems, it is necessary to look at the relationship between the context of "normality" and the processes of disaster. From the point of view of disaster research, the pre-impact type of social organization could be considered in terms of its degree of vulnerability, in relation to different types and intensities of potentially destructive events. In conceptual terms, it would be relatively simple to assume a direct linkage between the pre-and post-disaster structural state, and the behavior of a social (sub)system. But very little is known about the quality, the quantity and the type of this relationship. In other words, we could state that pre-disaster social vulnerability plays a crucial role in determining the range of destruction and the aftermath of social dynamics, but we do not know, or we know only roughly, what type of vulnerability plays what role.

Current social science does not have manageable models of societal dynamics. In particular, there is a lack of knowledge about the critical thresholds which determine the loss of the system's structural stability. Even though we must accept temporarily the general state of the art, in disaster research, we cannot maintain too high an indeterminacy in understanding and defining what is the sociosystemic vulnerability to disaster. This is particularly crucial when we advance the hypothesis that the post-disaster society is an extension of the pre-disaster one.

In the following sections of this paper we will try to give some starting points for the matter under discussion. In Section 1 we will present a new synthetic definition of both disaster and sociosystemic vulnerability. In Sections 2, 3, and 4 we will identify three levels--the typological, specific, and general--of social vulnerability to disasters. In Section 5 we will propose a hypothetical and tentative scheme in which the degree of structural indeterminacy predicts the overall degree of vulnerability to disasters of a social (sub)system.

The discussion will be at a relatively abstract level. But we believe that our point of view can be a heuristic and preliminary tool for finding the most powerful and simplest indicator of social vulnerability, for later application in disaster minimization strategies.

1. Definitions of Disaster and Vulnerability from a Sociological Point of View

The conceptual need to define vulnerability depends on whether or not one believes in the utility of a synthetic concept for assessing the probability of a social (sub)system losing viability under given conditions, and/or the probability of generating these conditions. For the purposes of this paper we need to consider the definition of disaster before dealing with the conceptual and terminological identification of the notion of social vulnerability.

In the literature, definitions of disaster of a "social nature have clearly and fortunately replaced the very early referents in almost solely physical terms" [Quarantelli and Dynes, 1977, p. 24]. Nevertheless, the major part of the sociological definitions of disaster focus only on the description of social and environmental effects of an impact, i.e., when disaster strikes. In these definitions, disaster is viewed as an event concentrated in time and space in which the normal structural arrangements of a social (sub)system are suddenly destroyed, and the fulfillment of all or some of the essential social functions are prevented [see: Endelmann, 1952] [Form and Nosow, 1958] [Fritz, 1961] [Cisin and Clark, 1962] [Skeet, 1977]. Other authors define disasters as collective stress situations which render expected conditions and goals unattainable to the degree customarily considered essential by the social units [see: Killian, 1954] [Loomis, 1962] [Gillin, 1962] [Barton, 1970]. Only a few definitions try to relate the notion of disaster to the collapse of the already existent capacity of the routine social structures. In other words, a disaster is defined as a situation in which the social demands exceed the organizational capabilities and precautions which had hitherto been culturally accepted as adequate [see: Dynes, Quarantelli and Kreps, 1972] [Turner, 1978]; [see also Sjoberg, 1962] [Western, 1972].

In spite of many variations in existing sociological definitions of disaster, the causes which generate disasters, i.e., the reasons why disaster occurs, are commonly left undetermined. In other words, the disaster social situation is arbitrarily separated from, or not explicitly connected to, the pre-disaster one. This could mean that a certain degree of "neutrality" of the pre-disaster type of normality is assumed.

In the last two decades researchers have produced many findings stressing the relevance of pre-disaster social conditions on post-disaster effects. On the other hand, the causes of a disaster have been considered partially external to the "normal" structural state of a social (sub)system. This view could be summarized as the "principle of limited responsibility" of the social structure in generating disaster situations. On the contrary we believe in the principle of the "total responsibility" of the sociostructural organization in generating the pre-conditions of every type of disaster, even when a natural agent is involved. In human systems there is always a social or a

sociotechnological cause for every sort of destruction and the effectiveness of the response to it [see Battisti, 1980] [DiSopra, 1980]. When, for example, a large scale earthquake occurs, the level of destruction depends on the capacity of the physical structures to absorb the massive release of energy. But this capacity is totally pre-determined socially, economically, and technologically.

In the case of technological disaster-agents, it has been shown that "the community preparedness necessitates social change, not mere technological upgrading" [Quarantelli and Tierney, 1979, p. 10]. We could generalize this to all types of disaster, that is, a "technical investigation alone is insufficient to provide a full understanding of the origins of disasters and that a socio-technical approach must be employed" [Turner, 1979, p. 57]. Similarly, in the case of natural disasters we could also apply the principle that disasters always arise from an absence of some kind of knowledge at some point Turner, 1978. From this perspective we could interpret all disasters as acts of ignorance or situations which depend on a lack of rationality. On the other hand this lack of rationality is a constant in social systems. It is well described in Simon's principle of "bounded rationality" which asserts that there is always a state of potential ignorance that prevents the maximization of any human goal [Simon, 1957].

We must temporarily accept as a constant the incapability to control and understand perfectly the dynamics which lead to a disaster situation. But we cannot tolerate a conceptual ambiguity about the context in which a disaster arises. Any sort of disaster, natural or man-made, dissensus or consensus type, etc., totally depends on social causes. If we accept this principle of "total responsibility", then the simplest and most general definition would state that disaster is the actualization of social vulnerability.

In the disaster literature there is a lack of clarity about the interpretation of the term "vulnerability". In many studies, the notion of vulnerability is implicitly defined as proneness, risk hazard; or lack of preparedness, readiness, organization, experience, viability, or low capability for absorption, normalization; or low elasticity, flexibility, stability; or high susceptibility, fragility, penetrability, exposure, etc. Only a few authors try to define explicitly the notion of social vulnerability. Here we can mention a representative sample of their writings.

"In some sense vulnerability is a concept which stands in a reciprocal relation to viability. Studies of social vulnerability should identify those key structures and processes which, when broken under assumed or actual stress will decrease the general and specific viability of society and its institutions" [Vestermarck, 1968, p. 14]. The following definition is relatively similar: "vulnerability defines the susceptibility of population-at-risk to loss when an event of given intensity occurs" [Friedman, 1975, p. 2].

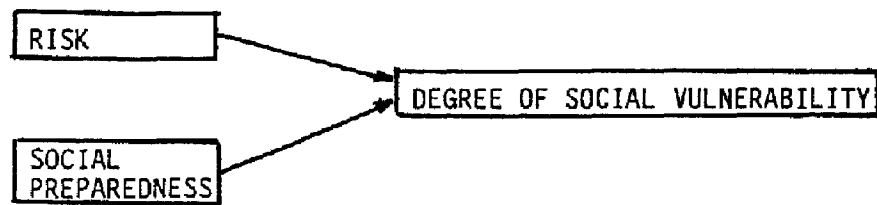
Disagreement is explicitly present in the distinctions among "vulnerability", "proneness" and "risk". Lewis, for example, trying to distinguish between proneness and vulnerability states that "the former concept refers to the frequency and magnitude of the physical events; the latter describes and measures the impact of disasters by means of statistical and other methods" [Lewis, 1979, p. 104]. For other authors

the term "proneness" describes the degree of social capacity to absorb or minimize disasters, while "vulnerability" refers to the degree in which a social (sub)system is at risk to extreme phenomena see for example: [Burton, Kates and White, 1977]. Westgate and O'Keefe, criticizing the above point of view, assert that the notion of social vulnerability is a combination of both of the concepts of proneness and risk, as follows: "vulnerability is the degree to which a community is at risk from the occurrence of extreme physical or natural phenomena where risk refers to the pejorative probability of occurrence, and the degree to which socio-economic and socio-political factors affect the community's capacity to absorb and recover from extreme phenomena" [1976, p. 65]. A recent work in the area of chemical disasters amplifies the latter approach, asserting that vulnerability is a characteristic of a community as a totality and that it is a complex function of both risk and preparedness [Gabor and Griffith, 1979].

Even though many of these definitions stress the socio-ecological quality of the term vulnerability, they assume a relative independence between the probability of occurrence of a destructive event and the sociological context. From this point of view one could derive by logical implication that a low or high probability of occurrence of an extreme phenomenon reduces or increases the level of social vulnerability. This, in operational terms, could be expressed, for example, with the formula:  $VULNERABILITY \text{ (disaster risk)} = NATURAL \text{ HAZARD RISK} \times DAMAGE \text{ PROBABILITY}$  [U.N.D.R.O., 1977]. In spite of the conceptual evidence that the degree of risk cannot be viewed as a factor which is independent from the sociostructural context, a distinction is made "as it serves to illustrate the different strategies community planners can pursue according to the relative importance of the two sets of factors in a given situation" [Gabor and Griffith, 1979, p. 325; Gabor and Pelanda, 1981]. In fact there is a level of applied knowledge in which, for practical purposes of contingent assessment, the separation of the notions of risk and the structural state of a social (sub)system could be justified in building both a working definition and combined indicators of social vulnerability.

Here, for our purposes, the problem is that this latter approach stays at the level of "functional rationality". That is, it is a series of actions organized in such a way that they lead to a previously defined goal with every element in these series of actions receiving a functional position and role [Mannheim, 1940]. But for a better understanding of what social vulnerability and disaster are, we need to operate at a level of "substantial rationality" i.e., acts of thought from which arises an intelligent insight into the interrelations of events in a given situation [Mannheim, 1940].

In other words, even though at a practical level we could separate the notions of risk and the social structural state, this point of view, assuming the relative independence between the pejorative probability of occurrence of an extreme phenomenon and the sociological context, is ambiguous for purposes of a substantial understanding of the concept of social vulnerability against disasters. As previously stated, we believe in the principle of "total responsibility" of the social organization in creating the pre-conditions of all the types of social destruction. This means that the approach in which risk and type of sociological context are both separate and independent factors (predictors) of the dependent variable "social vulnerability". i.e.:



does not satisfy our principle. On the contrary, we interpret the notion of social vulnerability as an independent factor (predictor) of risk, i.e.:



where risk is defined as the probability of an event occurring multiplied by the magnitude of the loss.

The latter causal relation satisfies the principle that the type of organizational state of a social (sub)system generates the pre-conditions for any sort of destruction, natural or man-made. It also implies that the sociological notion of vulnerability refers to the structural situation of a social system. The common sense preliminary assumption is that the notion of the probability of occurrence of an extreme environmental event is relevant from the sociological point of view only when it is an extreme social phenomenon, i.e., when a "barrier of indifference" does not exist. This means that risk depends on a factor of sociotechnological capacity which is a subcomponent of the degree of social vulnerability inside a given societal and/or community system.

From this perspective we need a "pure" sociological concept for defining the term "social vulnerability". The simplest notion could be the quantity of sociostructural "domain" (e.g., control) that a social system (or subsystem or component) has over its internal and external processes. At an abstract level, the condition of perfect domain is constituted by the fulfillment of two prerequisites: a) substantial knowledge of all the events which are possible given the structural state of the system of interest and b) related successful construction of sociotechnological barriers of indifference in opposition to the subset of possible events whose actualization would directly or indirectly lead the system below the threshold of minimum viability. At this level of generality we could assert that the degree of social vulnerability of a (sub)system is the quantity of sociostructural "non-domain" (e.g., non-control) over its internal and external processes. The notion of vulnerability is a relativistic concept based on the interests of an observer. This definition could be applied to the point of view of all the components placed along the continuum of sociosystemic complexity.

The actualization of any event socially defined as disaster is a specific property of the sociosystemic non-domain [Pelanda, 1981a]. This approach implies that both man-made and natural disasters simply assume the same quality of outcomes of "sociotechnological options", which are not sufficient to dominate the environmental variability. Furthermore, one of the implications related to the above definition of social vulnerability is that no extreme physical phenomenon, relevant from the point of view of human systems, can be considered independent from the involved sociological context. Or, better stated, any physical event characterized by a social impact is directly "generated" by causes inside the structural organization of a social (sub)system. Sudden, rare,

random, unexpected, destructive events are only synonyms of what we do not know, or of what we are not able or do not want to organize.

In the last section of this paper we will tentatively identify the main structural determinant of the quantity of social vulnerability as defined above. In the following pages we will discuss the problem of how many types of social vulnerability play what role in localized disasters.

## 2. Preliminary Identification of Three Levels of Social Vulnerability

To observe whether or not localized disasters have relevant long-term socio-economic and psychological effects, could be a preliminary way of finding some empirical evidence about what and how many types of social vulnerability play a role in disaster situations. In the social science disaster literature, at the socioeconomic level, American studies have produced two recurrent findings.

(1) The first finding is that localized natural disasters do not generate significant long-term changes in the demographic, economic and urban dynamics of impacted communities when compared with the pre-disaster ones [Wright et al., 1979]; [Friesma et al., 1979]; [Aguirre, 1981]. If small changes occur, they tend to be positive in economic terms and more relevant at a regional level rather than for single communities inside the geographical area of the disaster [see: Dacy and Kunreuther, 1969].

(2) The second finding is that localized natural disasters tend to produce an acceleration of the already pre-existing developed and underdeveloped trends [Bates et al., 1963]; [Haas et al., 1977].

At the psycho-social and epidemiological levels, there is a basic conflict between two subsets of survey findings relating to the long-term individual effects of natural disasters for a general discussion see: [Mileti et al., 1975] [Perry and Lindell, 1978]. The first set asserts that a natural disaster might produce short-term psychological disturbances, but does not generate significant long-term individual consequences [see Drayer, 1957] [Dohrenwend, 1973] [Hall and Landreth, 1975] [Taylor et al., 1976] [Omaha Tornado Project, 1976] [Western and Milne, 1976] [Sterling et al., 1977] [Mellick, 1978]. Moreover, disasters do not necessarily produce negative individual effects, but they can have many positive effects on some characteristics of the involved social units [see Barton, 1970] [Turner, 1966] [Drabek, 1976]. In contrast, the second set suggests that relevant psychological consequences can appear after a considerable period subsequent to the impact [see Killian, 1954] [Demerath and Wallace, 1957] [Form and Nosow, 1958] and can persist in the long-run among significant numbers of the disaster victims [see Wilson, 1962] [Erikson, 1976] [Titchener and Knapp, 1976] [Logue et al., 1978] [see also Ahearn, 1979]. A variation of this latter finding, based on community studies focused on the long-term social consequences of the 1976 Friuli (Italy) earthquake, proposes that both the destruction and the type of reconstruction tend to produce significant negative effects only, or mainly, on those victims already characterized by high pre-disaster psychological and/or socioeconomic vulnerability [Tessarini, 1980]; [Pelanda, 1981]; [Pascolini, 1981].

From a societal point of view, and on the basis of the literature we reviewed, we could hypothesize that in developed western societies, local natural disasters do not produce any long-term relevant structural effects. In other words, these types of social systems maintain their structural stability under localized destruction.

If one is interested in a more formal description of this observation we could use (only as a parenthetical note in the context of this paper) the mathematical concept of topological isomorphism related to the preservation of a system's structure over time [see Gottinger, 1975]; [Willigan, n.d.]. If we identify  $(S,X)$  as a differential dynamic system, where  $S$  is the system's phase space, with some assumed appropriate topological structure, and  $X$  is a vector field made up of a set of differential equations specified in  $S$ , we could define the system  $(S,X)$  to be structurally stable if for some perturbation  $dX$  on  $X$  the system  $(S, X + dX)$  is topologically isomorphic to  $(S,X)$ . This is simply a description of a system which maintains its qualitative dynamics under perturbation.

From a macroscopic point of view this should be the situation of the developed western societies in relation to localized disasters. On the other hand we do not know anything, or little, about the threshold of intensity beyond which a local crisis becomes a societal disaster, and about the permanent effects of localized disasters in both non-western and non-developed societies. Therefore, we can only assume that in western developed societies there is a general factor of sufficiently low social vulnerability, which maintains the structural stability of the system when the typological vulnerability, (i.e., the quantity of "non-control" over a particular sort of environmental variation) of a subsystem actualizes into a local disaster.

Further problems arise when we have to assess the disaster effects at the involved subsystem (regional area or community) level. In spite of many systematic observations which suggest that local natural disasters do not produce permanent changes on the characteristics of both the structural dynamics and the social units of the involved subsystem, we have good reasons to believe that this finding is more appropriate for low-range disasters, which are easily counterbalanced by the average capacity of institutional rehabilitation existent in developed western societies.

On the basis of the above reductively summarized findings, and assuming a relevant level of destruction, we could hypothesize that there are differential disaster effects among communities inside the same societal system, and among social units inside the same community. These differential effects are mainly based upon the subsystem's social units level of pre-disaster specific vulnerability, i.e., a pre-disaster capacity factor related to the involved social unit's probability of maximizing adaptive behavior under stress. This means that the differential distribution of the specific pre-disaster social, economic, cultural, organizational, vulnerabilities in the components inside the sociosystemic level of interest, creates the pre-conditions of differential adaptive or maladaptive post-disaster social dynamics.

But neither these factors of specific vulnerability nor those of typological vulnerability, which determines the post-impact degree of environmental alteration, are sufficient for exhaustively

predicting/explaining the type of disaster response of the involved social units. In fact, in modern societies, no social subsystem is left alone to cope with mass emergencies [Quarantelli and Tierney, 1979;] [Strassoldo and Pelanda, 1981]. Further, the degree of institutional rehabilitation (i.e., the level of actualization of a societal factor of general vulnerability), can totally modify the only apparent linear relationship between the particular vulnerabilities inside the involved subsystem and its overall degree of adaptive response to the disaster.

A unifying general notion for understanding this complex matter is the principle of continuity [Quarantelli and Dynes, 1977] which asserts that the pre-disaster behavior (or state) is the best predictor of the post-disaster dynamics. This principle fits our point of view. But for our purposes, which are focused on how the social vulnerability at different levels of the sociosystemic continuum plays its role in disaster situations, we have to elaborate this point. Until now we have identified the notion of total sociosystemic vulnerability (i.e., the quantity of "non-domain" of a social system over its internal and external processes) as a conceptual leitmotif. This implies at least three sublevels of social vulnerability: general, at a societal level, and specific and typological, at the involved subsystem level. The hypothesis is that if we know only one of these levels, or we assess them separately, we cannot measure the overall vulnerability of a social subsystem of interest nor predict/explain its post-disaster behavior. We need a simultaneous assessment of at least all these three types of social vulnerability. In other words, the fact of knowing each type of vulnerability alone does not allow us to predict/explain the subsystem's post-disaster social dynamics. Only a threefold simultaneous assessment could have this property at an acceptable level of reliability.

Before trying to define better these three levels of social vulnerability and their interrelationship, it will be useful to give a brief concrete empirical example of the matter under discussion.

### 3. An Example: The Friuli Earthquake Case

We undertook a questionnaire survey focused on the 1976 Friuli earthquake<sup>2</sup> and obtained a sample of 896 dwellers from 16 damaged and destroyed communities. We gathered data organized in a (recursive) causal scheme (see Figure 1) in which the rough determinants of the long-term individual (mal)adaptivity to the disaster are represented and measured [see Pelanda and Cattarinussi, 1981] [Cattarinussi, Moretti and Pelanda, 1980] [Strassoldo and Pelanda, 1981]. Here, because of space limitation, we can only briefly mention those findings most directly relevant to the topic of this paper.

In this research, we used reliable indexes of the disaster-victim's pre-impact socioeconomic ( $X_1$ ) and psychological ( $X_{11}$ ) vulnerabilities. In the causal scheme (Figure 1) the degree of pre-disaster psychological (in)stability is the best direct linear predictor of the long-term psychological state of the disaster involved subjects ( $X_2$ ). The degree of pre-impact socioeconomic vulnerability ( $X_1$ ) is not directly related to the latter index ( $X_2$ ). But, the socioeconomic vulnerability strongly influences other direct predictors of the dependent index ( $X_2$ ), that is, the degree of loss of cultural identification ( $X_7$ ), the degree of post-disaster family economic change ( $X_5$ ) and the degree of "individual disaster frustration" (based on a measure of self-esteem change) ( $X_8$ ).



Therefore, this type of vulnerability is one of the most crucial determinants of the long-term level of individual (mal)adaptivity to the disaster ( $X_2$ ).

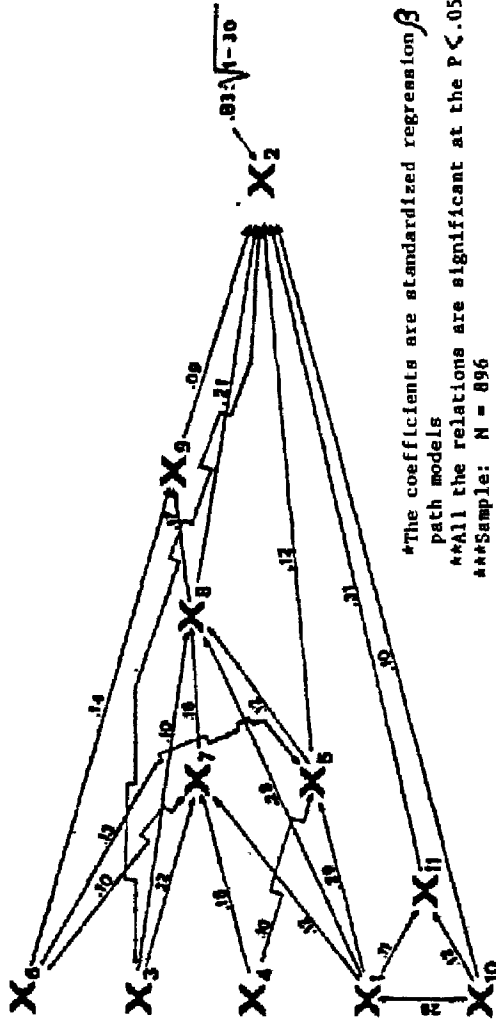
Compared with the pre-disaster overall personal state, the relative majority of the sample does not show changes four years after the impact. But relevant numbers of individuals, about 20%, show significant symptoms of maladaptivity. A similar number of disaster victims as well exhibit a general improvement in their socioeconomic and psychological conditions when compared with their pre-disaster state. Both changes tend to be linearly predicted by the degree of pre-disaster socioeconomic and psychological vulnerability.

In some of the findings derived from the causal schema (Figure 1) we can see that the levels of pre-disaster economic and psychological vulnerability are the best linear predictors of the long-term degree of individual (mal)adaptivity to the disaster. Even though this relationship is true in the statistical model we built, it does not imply, on the other hand, that we can exhaustively predict/explain the individuals' post-disaster situation only knowing their pre-disaster degrees of specific vulnerability. The statistical significance only means that there is a particular tendency in the data. Many exceptions suggest that the continuity between the overall pre- and post-disaster personal state of individuals is obviously affected by many other factors.

Before exploring these factors, we need a synthetic and manageable concept to identify the key dimension of the social units' capability for absorbing environmental crises. This crucial notion is the individual capability to maintain a sense of predictability and cultural coherence in spite of both the "disorder" produced by the destruction and the uncertainly related to the reconstruction process (this general factor is roughly captured and measured by the variables  $X_7$  and  $X_8$  in Figure 1). While this crucial notion is suggested in the model presented in Figure 1, it is clearly evident in the context of other parallel qualitative analyses of the sociological dynamics related to the Friuli earthquake. The degree of this cultural mediation capability weakens, for example, the linear relationship between the material objective disaster-situation (see the weak relation between  $X_6$  and  $X_2$  in Figure 1) and the psychological state of the disaster victim.

In synthesis, the general pre-disaster social state of individuals is not alone sufficient to explain exhaustively the probability of maintaining predictability under perturbation, and adaptivity to the disaster situation. Hundreds of intervening variables are relevant for the remaining quantity of this type of prediction/explanation. But we could state that all of them depend on the degree of actualization of both the typological (i.e., all the factors which determine the degree of environmental alteration) and the general (i.e., all the factors which determine the level of institutional rehabilitation) vulnerability.

In the Friuli earthquake case, the actualization of the typological vulnerability (1000 casualties, 2800 injured, 70,000 homeless) was bounded inside the housing system, leaving whole the productive structure. Moreover, the presence in Friuli of 2/3 of the Italian Army substituted and counter-balanced the total lack of community preparedness for emergency management. The general vulnerability, at a societal



\*The coefficients are standardized regression  $\beta$  for path models  
 \*\*All the relations are significant at the  $P < .05$  level  
 \*\*\*Sample: N = 896

- X1 Degree of pre-disaster socioeconomic vulnerability (min - max: high)
- X2 Degree of long-term individual maledaptivity (min - max: high)
- X3 Degree of perceived social climate change as compared with the pre-disaster one (min - max: deterioration)
- X4 Degree of "kin embeddedness" (min - max: low)
- X5 Degree of family economic change as compared with the pre-disaster one (min - max: pejorative change)
- X6 Degree of damage and its persistence over time (min - max: still living in a barracks)
- X7 Degree of loss of cultural identification (min - max: high)
- X8 Degree of disaster frustration (min - max: high)
- X9 Degree of change in the family climate as compared with the pre-disaster one (min - max: deterioration)
- X10 Sex (max: female)
- X11 Degree of pre-disaster psychological instability (min - max: high)

Figure 1  
 Causal Schema of the Determinants of the Long-Term Individual (Mal)Adaptivity to the 1976 Friuli Earthquake

level, actualized mostly in some intervention delays, but not in the amount and quality of the financial and organizational resources which converged onto the disaster area. The bounded actualization of both the typological and general vulnerability, generated a similarly bounded actualization of the specific vulnerability of the social units inside the involved subsystem.

From a general point of view, this means that the overall post-disaster organizational environment remained below the threshold, beyond which the average capability of the disaster-area social units for maintaining a sense of cultural coherence and predictability collapses. On the other hand, and at another level of observation, the partial actualization of these vulnerabilities was high enough to create a relevant quantity of randomness in the individual adaptive success. The quantity of post-disaster environmental indeterminacy was, and is, high enough to make unpredictable the degree of adaptation of many social units if we know only their own degree of pre-disaster social vulnerability. For our immediate purposes, this set of necessarily reductive considerations, is sufficient to make reasonable the hypothesis that the simultaneous assessment of at least three levels of vulnerability along the continuum of sociosystemic complexity, is the minimum pre-condition for getting an acceptable prediction/explanation of the social dynamics inside a post-disaster subsystem.

Now let us go back again to the general discussion we interrupted to present some rough empirically-based illustrations.

#### 4. Role and Interaction of Three Levels of Sociosystemic Vulnerability in Determining the Overall Subsystem's Vulnerability and Disaster Response

When disaster strikes modern societies, the involved social subsystem is not left alone, but is "rehabilitated" by some larger system. When we have to deal with the problem of the assessment of disaster minimization in communities or regional areas, we cannot simply use measures of local exposure or of social vulnerability inside the area of interest. We have to identify as many levels of vulnerability as there are functional connections among components, subsystems and systems. For minimum acceptable predictions/explanations at least a simultaneous assessment of three levels of social vulnerability, defined as follows, is required.

##### A. Subsystem of Interest Level.

(1) Typological Vulnerability: refers to all the local sociotechnological pre-conditions whose resultant defines the degree of the social subsystem's indifference to a given intensity of a possible type of environmental perturbation. In other words, this term includes both the technological and social factors which directly define the probability of avoiding or minimizing a specific type, or a set, of potentially destructive events. The level of emergency planning preparedness, the degree of resilience of the physical structures, the technological capability to assess locally the degree of exposure, the sociopolitical awareness of risk, etc., are examples of some of the

required indicators for assessing the typological vulnerability. Its degree of actualization directly determines the subsystem's post-impact degree of environmental alteration.

(2) Specific Vulnerability: is the combined resultant of the distribution of the cultural, organizational, technological and economic resources of the subsystem's social units (individuals, families and organizations). In other words, this is a complex measure of the local levels of both socioeconomic development and cultural stability. The degree of specific vulnerability directly influences both the degree of pre-disaster typological vulnerability and the social units' type of response when the typological vulnerability actualizes.

#### B. Society System Level.

(1) General Vulnerability: is the societal degree of socioeconomic, organizational and technological development. The national society vulnerability indicators refer to: 1) the quantitative and qualitative availability of economic, organizational, cultural, normative and technological resources; 2) the degree of functional connection between the societal system and its subsystems; 3) the degree of functional linkage with the international oversystem [see DelliZotti, 1981; [Strassoldo, 1979]. The degree of general vulnerability directly influences both the pre-disaster levels of typological and specific vulnerability and the degree of after-impact institutional rehabilitation.

As shown in Figure 2, these types of preliminary analyses imply both a causal relationship among the three levels of social vulnerability and their different direct roles after the impact of a localized disaster. In the disaster situation, the degree of societal general vulnerability directly influences the subsystem's vulnerabilities. The degree of specific vulnerability amplifies or reduces that quantity of typological vulnerability which directly depends on the general state of the societal system. After impact, the three types of vulnerability play a combined but differential role in determining the subsystem's overall social response. Its level of success directly depends on the degrees of: a) environmental alteration (the actualization of the typological vulnerability), b) pre-disaster specific vulnerability of the involved social units and c) institutional rehabilitation (the actualization of the general vulnerability).

The representation in Figure 2 is made from the point of view of the involved social subsystem. This approach means that for assessing its overall vulnerability against possible disasters, we have simultaneously to use reliable indicators related to at least all three levels of the social vulnerability identified above. If we know only one or two levels or if we are not able to combine all of them, the failure to predict/explain the involved subsystem's disaster related dynamics is more likely to occur.

The required social science assessment, as shown in Figure 3, could be described as a three-step process: 1) reduction of the complexity of the reality by the identification and measurement of a satisfying number of indicators related to all three levels of sociosystemic vulnerability; 2) employment of multivariate techniques (e.g., factor analysis) for reducing the complexity of the indicators and finding the latent